

# State of Nebraska

## Accountability and Disclosure Commission

P.O. BOX 95086  
Lincoln, Nebraska 68509  
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### **Synopsis Case #20-22** Action Taken on March 5, 2021

**Respondent:** James Erickson

The Respondent is a member of the Johnson County Board of Commissioners who was required to file an annual Statement of Financial Interests on or before March 2, 2020 covering calendar year 2019. He failed to do so in violation of §49-1493 of the Nebraska Political Accountability and Disclosure Act. The Executive Director of the Commission commenced a Preliminary Investigation on August 27, 2020 alleging a failure to file a Statement of Financial Interests in violation of §49-1493. The required statement was filed on February 4, 2021. The parties entered into a settlement agreement by the terms of which the Commission finds a violation of §49-1493 and no civil penalty is assessed. The Commission approved the Settlement Agreement with nine Commissioners concurring, no Commissioners dissenting, and no Commissioners abstaining.

**Violation:** Pursuant to the Settlement Agreement, the Commission found that the Respondent failed to file an Annual Statement of Financial Interests covering calendar year 2019 in violation §49-1493 of the NPADA.

**Civil Penalty:** None

**Other:** Required statement is now on file.

**Synopsis Prepared by:** Frank Daley  
Executive Director  
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**BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION**

In the Matter of James Erickson,     )  
  )  
Respondent                                 )  
  )  
  )  
  )

Case #20-22  
  
ORDER

Now on this 5<sup>th</sup> day of March 2021, this matter comes before the Nebraska Accountability and Disclosure Commission. Commissioners Anderson, Callahan, Carlson, Davis, Enenbach, Evnen, Hegarty, Peetz and Sullivan are present with Commissioner Davis presiding. The Respondent is not present. The Commission notes the submission of a proposed Settlement Agreement.

Whereupon, the Commission with nine Commissioners concurring, no Commissioners dissenting, and no Commissioners abstaining, finds that the attached Settlement Agreement should be and hereby is approved and incorporated into this Order. Pursuant to that Agreement, the Commission finds that the Respondent has committed a violation of Nebraska Revised Statute §49-1493 as more fully set forth in the attached Settlement Agreement.

In accordance with the Settlement Agreement, the Commission does not impose a civil penalty in this case.

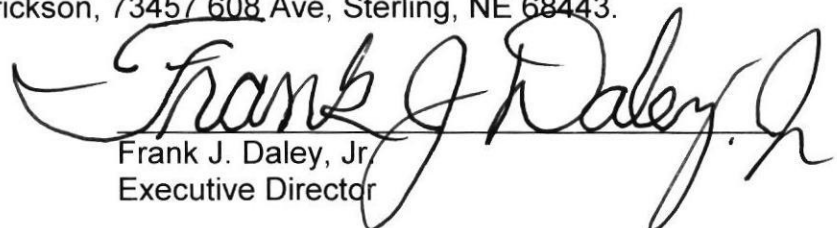
Issued this 5<sup>th</sup> day of March 2021.

Nebraska Accountability and Disclosure Commission

  
Frank J. Daley, Jr.  
Executive Director

**Certificate of Service**

I hereby certify that a copy of this Order was sent by certified U.S. Mail, postage prepaid, return receipt requested on this 5<sup>th</sup> day of March 2021, to the following at the following address or addresses: James Erickson, 73457 608 Ave, Sterling, NE 68443.

  
Frank J. Daley, Jr.  
Executive Director

*none*

**BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION**

	)	<b>CASE #20-22</b>
	)	
<b>In the Matter of James Erickson</b>	)	<b>Settlement Agreement</b>
	)	
	)	

- 1) Parties: The parties to this Settlement Agreement are the Nebraska Accountability and Disclosure Commission, hereafter referred to as the "Commission" and James Erickson of Sterling, Nebraska, hereafter referred to as the "Respondent".
- 2) Jurisdiction: The parties acknowledge the jurisdiction of the Commission pursuant to the Nebraska Political Accountability and Disclosure Act.
- 3) Intention: The parties enter into this Settlement Agreement after a finding of probable cause by the Commission and agree that this Agreement shall constitute a waiver of a formal hearing thereby resolving the matter without further delay and expense to the parties.
- 4) Admissions and Findings: The Respondent admits that Section §49-1493 provides that as a member of the Johnson County Board of Commissioners he was to file a Statement of Financial Interests (NADC Form C-1) covering calendar year 2019 on or before March 2, 2020 and that he failed to do so in violation of the provisions of said statute. The parties acknowledge that the required statement was filed with the Commission on December 8, 2020.
- 5) Penalty: The parties acknowledge that violation of Section §49-1493 may result in a maximum civil penalty of five thousand dollars. In this case, the parties agree that no civil penalty shall be assessed.
- 6) Future Compliance: Respondent agrees that in the future, he will use all reasonable diligence in complying with all of the provisions of the Nebraska Political Accountability and Disclosure Act.
- 7) Commission Order. The parties agree that the Commission shall enter an Order finding the violation as set out in paragraph 4 above and order the payment of no civil penalty as agreed herein.
- 8) Effective Date of Agreement: This Agreement shall not be binding upon the parties until approved by the Commission. The date upon which the Commission enters its Order shall be deemed the effective date. If this Agreement is not