

# State of Nebraska

## Accountability and Disclosure Commission

P.O. BOX 95086  
Lincoln, Nebraska 68509  
[www.nadc.nebraska.gov](http://www.nadc.nebraska.gov)



Centre Terrace, 1225 L St. #400  
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### **Synopsis Case #20-40** Action Taken on March 5, 2021

**Respondent:** Patrick Berggren

The Respondent is a member of the Game and Parks Commission who was required to file an annual Statement of Financial Interests on or before March 2, 2020 covering calendar year 2019. He failed to do so in violation of §49-1493 of the Nebraska Political Accountability and Disclosure Act. The Executive Director of the Commission commenced a Preliminary Investigation on December 10, 2020 alleging a failure to file a Statement of Financial Interests in violation of §49-1493. The required statement was filed on January 27, 2021. The parties entered into a settlement agreement by the terms of which the Commission finds a violation of §49-1493 and no civil penalty is assessed. The Commission approved the Settlement Agreement with nine Commissioners concurring, no Commissioners dissenting, and no Commissioners abstaining.

**Violation:** Pursuant to the Settlement Agreement, the Commission found that the Respondent failed to file an Annual Statement of Financial Interests covering calendar year 2019 in violation §49-1493 of the NPADA.

**Civil Penalty:** None

**Other:** Required statement is now on file.

**Synopsis Prepared by:** Frank Daley  
Executive Director  
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**BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION**

In the Matter of Patrick Berggren,     )  
  )     Case #20-40  
Respondent                                    )     ORDER  
  )

Now on this 5<sup>th</sup> day of March 2021, this matter comes before the Nebraska Accountability and Disclosure Commission. Commissioners Anderson, Callahan, Carlson, Davis, Enenbach, Evnen, Hegarty, Peetz and Sullivan are present with Commissioner Davis presiding. The Respondent is not present. The Commission notes the submission of a proposed Settlement Agreement.

Whereupon, the Commission with nine Commissioners concurring, no Commissioners dissenting, and no Commissioners abstaining, finds that the attached Settlement Agreement should be and hereby is approved and incorporated into this Order. Pursuant to that Agreement, the Commission finds that the Respondent has committed a violation of Nebraska Revised Statute §49-1493 as more fully set forth in the attached Settlement Agreement.

In accordance with the Settlement Agreement, the Commission does not impose a civil penalty in this case.

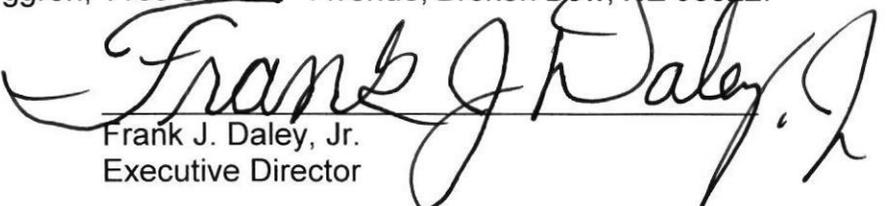
Issued this 5<sup>th</sup> day of March 2021.

Nebraska Accountability and Disclosure Commission

  
Frank J. Daley, Jr.  
Executive Director

**Certificate of Service**

I hereby certify that a copy of this Order was sent by certified U.S. Mail, postage prepaid, return receipt requested on this 5<sup>th</sup> day of March 2021, to the following at the following address or addresses: Patrick Berggren, 1109 South 5<sup>th</sup> Avenue, Broken Bow, NE 68622.

  
Frank J. Daley, Jr.  
Executive Director

2/3/21

**BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION**

**In the Matter of Patrick Berggren**

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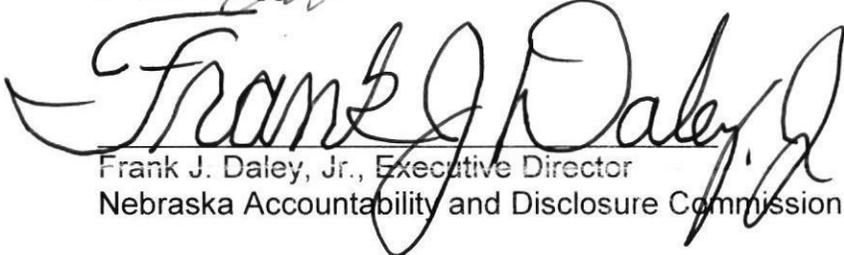
**CASE No. 20-40  
SETTLEMENT AGREEMENT**

- 1) Parties: The parties to this Settlement Agreement (hereinafter "Agreement") are the Nebraska Accountability and Disclosure Commission, hereafter referred to as the "Commission" and Patrick Berggren, hereafter referred to as the "Respondent."
- 2) Jurisdiction: The Respondent acknowledges that the Commission has jurisdiction in this matter pursuant to the terms of Nebraska Statutes 49-1401 et seq., known as the Nebraska Political Accountability and Disclosure Act ("NPADA").
- 3) Intention: The parties hereby agree and intend that this Agreement shall constitute a waiver of any further proceedings in this matter, thereby resolving the matter without further delay and expense to the parties.
- 4) The Alleged Violation: In its Notice of Preliminary Investigation in this case, the Commission has alleged that the Respondent failed to file an annual Statement of Financial Interests, covering calendar year 2019, which Statement was required by Section 49-1493 of the Nebraska Statutes.
- 5) Stipulation: The Respondent and the Commission stipulate and agree that at the time of the alleged violation, the Respondent had an obligation to file a Statement of Financial Interests covering calendar year 2019, and he did not do so.
- 6) The Alleged Violation, Agreement: The Respondent states that it was not his intent to violate the NPADA as alleged, but he agrees and stipulates that the Commission has already made a finding that there is probable cause to believe that he violated Section 49-1493 of the NPADA, as described above in Paragraph 4, and as more fully set forth in the Notice of Preliminary Investigation; and he further agrees and stipulates that the Commission may enter an order that there has been a violation as alleged in Paragraph 4, and that he will not contest such order. The Respondent further states that he has now filed the Statement of Financial Interests in question, and that it has been accepted by the Commission.
- 7) Waiver of Civil Penalty. In view of the Respondent's willingness to resolve this matter at this point without a Hearing, and his filing of the Statement of Financial Interests at issue, the Commission will waive a civil penalty in this case.

- 8) The Commission must approve this Agreement in order for it to be effective: The parties agree that in order for this Agreement to become effective, the Commission must approve it, and the Commission shall, if it approves the Settlement, enter an Order in accordance with the terms of this Agreement.
- 9) Effective Date of Agreement: This Agreement shall not be binding upon the parties until the date it is approved by the Commission and the Commission issues an order in accordance with the terms of this Agreement. The date upon which the Commission enters its Order approving this Agreement shall be deemed its effective date. If this Agreement is not approved in whole by the Commission, this Settlement Agreement shall be void and may not be used in this or any other proceeding. The parties acknowledge that this Settlement Agreement and any subsequent Order shall be announced at an open public meeting of the Commission. The parties further acknowledge that, upon adoption, this Settlement Agreement, the Order, and the Commission's file pertaining to this matter shall become open and public.
- 10) Right to Address the Commission: The Respondent has a right to address the Commission on the matter of the approval of this Settlement Agreement.
- 11) Advice of Counsel: The Respondent acknowledges that he has had an opportunity to seek the advice of an attorney in connection with the terms of this agreement.

  
\_\_\_\_\_  
Patrick Berggren

2/2/21  
Date

  
\_\_\_\_\_  
Frank J. Daley, Jr., Executive Director  
Nebraska Accountability and Disclosure Commission

3-5-21  
Date