CONTENTS

General Information – Statement of Financial Interests (Form C-1)

A Statement of Financial Interests discloses information about an individual's personal financial situation such as sources of income, business associations, and financial holdings. It does not require the disclosure of dollar amounts of income or dollar value of holdings. Like a tax return, the statement covers the prior year.

I. Who Should File:

A. CANDIDATES – WHO MUST FILE FORM C-1

Candidates for certain offices are required to file a Statement of Financial Interests. The list below shows which candidates are subject to this requirement. What if Not Filed: If a candidate subject to the requirement does not file a Statement of Financial Interests, his or her name will not appear on the ballot. When and What to File: A candidate who files to appear on the ballot must file a Statement of Financial Interests covering the prior calendar year on or before March 1st.

CANDIDATES – Who Must File a Statement of Financial Interests:

1. Governor, Lt. Governor, Secretary of State, Auditor of Public Accounts, State Treasurer, and Attorney General.
2. Member of the State Board of Education, member of the Board of Regents of the University of Nebraska and member of the Public Service Commission.
3. Member of the Legislature.
4. Any county elective office.
5. Member of the board of directors of a district organized under Chapter 70 of the state statutes including a public power district, a rural public power district and a public power and irrigation district.
6. Mayor and city council members of the cities of Omaha and Lincoln.

B. OFFICE HOLDERS, NON-ELECTED POSITIONS, AND STATE EMPLOYEES – WHO MUST FILE FORM C-1

Individuals holding certain public offices on the state and local levels and employees holding certain positions must annually file a Statement of Financial Interests.

What if Not Filed: An individual who fails to file a required Statement of Financial Interests is subject to a civil penalty of up to $5,000.

When and What to File: A Statement of Financial Interests must be filed annually, on or before March 1st. Like a tax return, the statement should cover the prior calendar year. Appointed office holders and designated employees must file prior to assuming the duties of their position. Those leaving their office or position must file within 30 days after leaving.

OFFICE HOLDERS – Who must file a Statement of Financial Interests:

1. Governor, Lt. Governor, Secretary of State, Auditor of Public Accounts, State Treasurer, and Attorney General.
2. Member of the State Board of Education, member of the Board of Regents of the University of Nebraska and member of the Public Service Commission.
3. Member of the Legislature.
4. Any county elective office.
5. Member of the board of directors of a district organized under Chapter 70 of the state statutes including a public power district, a rural public power district and a public power and irrigation district.
6. Mayor and city council members of the cities of Omaha and Lincoln.

NON-ELECTED POSITIONS – Who must file a Statement of Financial Interests:

1. Officers and Managers of: Public Power Districts, Public Power and Irrigation Districts, Public Irrigation Districts, and Rural Public Power Districts.
2. Members of Douglas, Lancaster, and Sarpy County: Planning commission; Zoning commission; Joint city-county zoning or planning commission; Board of adjustment.

STATE EMPLOYEES – Who must file a Statement of Financial Interests:

1. Abstracters Board of Examiners: Executive Director.
2. Accountability and Disclosure Commission: Commission members, executive director, deputy director, general counsel, auditors.
3. Administrative Services: Director, deputy director, controller, E1 administrator, division administrators and directors, personnel director. Materiel Division: print shop manager, central mail/supply services manager, state recycling coordinator, procurement manager, buyers, state surplus property manager, procurement supervisors, state contract manager. Personnel Division: personnel deputy director, personnel administrator classification and compensation, personnel administrator recruitment, personnel administrator organizational development, chief negotiator division of employee relations, personnel administrator employee benefits, personnel administrator, NIS Capitol Administrator, Risk Manager/Risk Management Division, Task Force for Building Renewal Architects and Consultants, chief information officer, CIO IT administrators, Building Division: Architects & Engineers.
4. Agriculture: Director, assistant director, state veterinarian, deputy state veterinarian, administrative manager, legal counsel, budget and fiscal officer.
5. Nebraska Arts Council: members, executive director, deputy director.
6. Athletic Commission: commissioner.
7. Auditor of Public Accounts: Auditor of Public Accounts, deputy auditor, assistant deputy auditors, audit managers, Finance Manager, Legal Counsel.
8. Banking and Finance: Director, deputy director financial institutions, deputy director-securities, general counsel, chief information technology officer, business manager.
9. Board of Barber Examiners: director.
10. Nebraska Brand Committee: members, executive director/chief inspector.
11. Collection Agency Licensing Board: members

12. Colleges--Board of Trustees: members of the board of trustees, chancellor, vice chancellor for finance and administration, general counsel and vice chancellor for employee relations, state college presidents, vice-presidents for administration and finance, vice-presidents for academic affairs, vice chancellor for academic planning and partnerships, Associate Vice President of Administration and Finance-Wayne State College, Executive Director of Budget and Planning-Peru State College, Comptrollers, Budget director-Chadron State College and Wayne State College, Accountant-Chadron State College, Director of Accounting- Peru State College and Wayne State College, Director of Business Services-Peru State College, Director of Student Accounts-Wayne State College, Vice Chancellor for Student Affairs and Risk Management, Vice Chancellor for Facilities & Information Technology.
13. Corn Development, Utilization, and Marketing Board: administrator, board members.
14. Correctional Services: Director, deputy directors, wardens, facility engineering manager, agency legal counsel, corrections materiel administrator, agency controller, agency medical director, administrator adult parole, manager federal surplus property, accounting and finance manager, federal aid administrator II, assistant materiel administrator, assistant administrator cornhusker state industries, personnel administrator II, pharmacy manager, chief of operations, chief of staff.
15. Commission on Law Enforcement and Criminal Justice: commission members, executive director, federal aid administrator, division directors, center directors, members Jail Standards Board.
16. Dry Bean Commission: commission members, executive director.
17. Economic Development: Director, deputy director.
18. Education: State Board of Education members, commissioner, deputy commissioners.
19. Educational Lands and Funds: board members, executive secretary, members of the Board of Appraisers.
20. Educational Telecommunications Commission: secretary/general manager.
21. Electrical Board: members, executive director.
22. Engineers and Architects--Board of: executive director.
23. Nebraska Department of Environment and Energy: Environmental Quality Council members, director, deputy director, division administrators, budget officer III, agency legal counsel, government relations manager.

24. Nebraska Environmental Trust Board: board members, executive director.
25. Nebraska Ethanol Board: administrator.
26. Equal Opportunity Commission: commission members, executive director.
27. Fire Marshal: State Fire Marshall, chief deputy state fire marshals, assistant state fire marshal, UST manager, business manager, legal counsel, plans examiner, state elevator inspector, chief boiler inspector.
28. Game and Parks Commission: commission members, director, assistant directors, division chiefs, manager facilities engineering, administrative assistant III-budget and fiscal division, federal aid administrator III.
29. Geologist Board: board members
30. Governor's Office: Governor, chief of staff.
31. Grain Sorghum Development Utilization, and Marketing Board: board members, executive director.
32. Health and Human Services: Director, Director Division of Behavioral Health, Director Division of Children & Family Services, Director Division of Developmental Disabilities, Director Division of Medicaid & Long Term Care, Director Division of Public Health, Chief Medical Officer, State Board of Health Members, Rural Health Advisory Members, Licensure Administrator, Environmental Health Administrator, Chief Operating Officer, Administrator Communications & Legislative Services, Administrator Financial Services, Administrator Human Resources, Administrator Information Systems & Technology, Administrator Legal Services, Service Area Administrators, Administrator of Each Regional Center, Administrator of Each Youth Rehabilitation & Treatment Center, Administrator office of Juvenile Services, Administrator Beatrice State Developmental Center, Administrator Unit of Aging, Deputy Director Behavioral Health Services, Deputy Director System integration, DHHS Facilities Director, Deputy Director Economic Assistance, Deputy Director Protection and Safety, Deputy Director Child and Family Services, Deputy Director Community Based Services, Deputy Director Policy & Quality, Deputy Director Population Health, Deputy Director Experience Management & Provider Relations, Deputy Director Policy & Regulations, Deputy Director Business & Analytics, Deputy Director Finance & Program Integrity, Deputy Director Health Licensure & Environmental Health, Deputy Director Health Data, Deputy Director Community Health Section, Administrator Central Operations & Materiel Services, Administrator Central Procurement Services, Administrator Contract Administration.

33. Commission on the Deaf and Hard of Hearing: commission members, executive director.
34. Nebraska Historical Society: director, associate directors.
35. Commission on Indian Affairs: executive director.
36. Insurance Department: Director, deputy director, chief financial examiner, chief of market regulation, general counsel.
37. Investment Council: council members, state investment officer, deputy investment officer.
38. Labor Department: Commissioner, deputy commissioner, division directors.
39. Board of Examiners for Land Surveyors: secretary to the board.
40. State Board of Landscape Architects: board members.
41. Latino American Commission: executive director.
42. Library Commission: commission members, director.
43. Liquor Control Commission: commission members, executive director.
44. Military Department--Office of the Adjutant General: adjutant general/NE Emergency Management director, deputy adjutant general, assistant director/NE Emergency Management, controller.
45. Motor Vehicles: director, deputy director, Controller, motor carrier services administrator, driver & vehicle records administrator, legal counsel, driver licensing examining administrator, information systems manager, and audit supervisor.
46. Motor Vehicle Licensing board: board members, executive director.
47. Department of Natural Resources: director, assistant directors, natural resources division manager, legal counsel, Natural Resources Commission members.
48. Nebraska Dairy Industry Development Board: members.
49. Oil and Gas Conservation Commission: commission members, director, deputy director, business manager, staff petroleum engineer/UIC director, oil and gas inspectors, information systems infrastructure support technician.
50. State Ombudsman: public counsel, deputy public counsel, deputy public counsel for corrections, deputy public counsel for welfare services, deputy public counsel for institutions.
51. Parole Board/Division of Parole Supervision: parole board members, director of parole supervision.
52. Nebraska State Patrol: Superintendent, assistant superintendent, administrative services major, material control manager, carrier enforcement commander, liquor enforcement commander, administrative services captain, professional

standards captain, carrier enforcement mcsap lieutenant, attorney III, budget and fiscal officer III, federal aid administrator III, hazardous devices coordinator, agency legal counsel I.

53. Policy Research Office: director.
54. Coordinating Commission for Postsecondary Education: commission members, director.
55. Power Review Board: board members, executive director/general counsel.
56. State Probation: state probation administrator.
57. Board of Public Accountancy: executive director.
58. Public Advocacy Commission: chief counsel.
59. Public Service Commission: commissioners, executive director.
60. Nebraska State Racing Commission: commission members, executive secretary, state steward, racing commission investigators, director of investigations and security.
61. Real Estate Commission: director.
62. Real Property Appraisers Board: executive director.
63. Public Employees Retirement Systems: board members, director.
64. Revenue Department: Tax Commissioner, operations and administrative services division director, lottery and charitable gaming division director, property tax administrator, compliance division director, deputy tax commissioner, audit managers, auditor IV, legal manager, policy manager, revenue special services manager, revenue collections manager, lottery division attorney III.
65. Secretary of State: Secretary of State, chief deputy secretary of state, deputy secretary of state for elections, deputy secretary of state for business services, records management division manager, controller, executive director of the state records board.
66. State Surveyor: state surveyor, deputy state surveyor.
67. Tax Equalization and Review Commission: members.
68. Nebraska Tourism Commission: executive director, deputy director/grant administrator.
69. Department of Transportation: members of the State Highway Commission, director, deputy directors, district engineers, division heads, district operations maintenance manager, procurement manager, buyers.
70. State Treasurer: State Treasurer, deputy state treasurer.

71. University of Nebraska: Members of the Board of Regents (except student Regents) president, executive vice president and provost, vice president and general counsel, vice president for business and finance, director of risk management, associate vice president and director of internal audit, associate vice president for facilities management. University of Nebraska-Lincoln: chancellor, vice chancellor for business and finance, vice chancellor for research and economic development, executive vice chancellor for academic affairs, vice president and vice chancellor for Agriculture and Natural Resources, director of intercollegiate athletics, associate vice chancellor for technology transfer, associate vice chancellor and controller, director procurement services and strategic sourcing, assistant vice chancellor facilities maintenance and operations, vice chancellor for student affairs, director of facilities management and planning, director of the food processing center. University of Nebraska Medical Center: chancellor, vice chancellor for business finance and business development, assistant vice chancellor for business and finance, senior vice chancellor for academic affairs, director procurement and materials management, associate vice chancellor and director campus facilities, vice chancellor for research. University of Nebraska at Omaha: chancellor, vice chancellor for business finance and business development, assistant vice chancellor for business and finance, vice chancellor for athletic leadership and management, vice chancellor for student success, senior vice chancellor for academic and student affairs, director of facilities management and planning. University of Nebraska-Kearney: chancellor, senior vice chancellor for academic affairs, vice chancellor for business and finance, associate vice chancellor for business and finance, director of business services, director of intercollegiate athletics, director of human resources procurement and payment services.
72. Veterans Affairs: Director, deputy director, finance director, operations director, agency legal counsel, facility administrator-Eastern Nebraska Veterans' Home, Facility Administrator-Central Nebraska Veterans' Home, Facility Administrator-Norfolk Veterans' Home, Facility Administrator-Western Nebraska Veterans' Home, Facilities Manager.
73. Nebraska Wheat Development, Utilization, and Marketing Board: executive director, board members.

II. When and Where to File:

A. **Candidates** should file with the Nebraska Accountability and Disclosure Commission by March 1st of the year of the election. The filing must cover the calendar year prior to the election. Failure to file a Statement of Financial Interests as required may result in the candidate's name not appearing on the ballot.

B. **Officeholders, officials and employees** must file with the Nebraska Accountability and Disclosure Commission on or before March 1st of each year in which they hold the position.

C. **Appointed officeholders, officials and employees** must file with the Commission prior to assuming the duties of the office or position. If confirmation is required, the filing should be made prior to assuming the duties of office or position or prior to confirmation, whichever comes first.

D. Within **30 days after leaving** the office or position, a Statement of Financial Interests should be filed with the Commission. The filing should cover a period beginning with the day after the closing date of the prior statement through the date that the person left the office or position.

III. Potential Conflict of Interest Filings:

Officeholders, officials and employees referenced in section **I.** are also required to file a Potential Conflict of Interest Statement (NADC Form C-2) whenever a potential conflict of interest arises. A public official or public employee has a potential conflict of interest if he or she is faced with taking an official action or making an official decision which could result in a financial benefit or financial detriment to: a) the public official; b) a member of his or her immediate family; or c) a business with which he or she is associated. The financial benefit must be distinguishable from that experienced by the general public or a broad segment of it.

IV. Forms:

A. Statement of Financial Interests (Form C-1) may be filed electronically through the FirstTuesday Campaign Finance System. After your initial filing, all information is maintained in your account for quick and easy filing next year. To begin, please see our simple C-1 e-File guides under **Electronic Filing Guides** at https://nadc.nebraska.gov/publications.

B. Forms in Word format may be obtained from the Commission's website at https://nadc.nebraska.gov/available-forms. They may be downloaded onto your computer hard drive, completed, printed, and mailed or emailed to nadc@nebraska.gov. If emailing, please save as a PDF and send us a signed PDF copy. A copy of the filing (in Word format) may be preserved on the hard drive of your computer for future updates and submission.

C. Hard copies of forms may be obtained by contacting the Nebraska Accountability and Disclosure Commission office.
The Commission email address is nadc@nebraska.gov.
You may call our office at 402-471-2522.

V. Filing Tips and What to Disclose:

Note that all Items must be filled out or marked **None**! For guidance with filling out Form C-1, what must be included, etc. please note the following per Item:

Item 1 - Your Name Address and Phone Number

Item 2 - Your occasion for Filing
If you are a candidate filing for office (including an incumbent filing for re-election to office), check "Candidate for Elective Office".
If you are an incumbent officeholder or employee, check "Annual Officeholder's Report".
If you have left the office or position, select Left Office or Position
If newly appointed or hired, select Newly appointed to Office or Position

Item 3 – Office Held (for all officials and employees)
Term only applies to officials who hold a position with a set term.

Item 4 – Office Sought (for Candidates currently running for office)

Item 5 - Period Covered by the Statement

Your filing <u>cannot be accepted</u> unless this section is properly and completely filled out. Like a tax return, the period covered should always be the prior year, with only one exception. If you are filing your statement by reason of having Left Office, your statement must cover a period beginning with the day after your last filing period and end with the date you left office.

Item 6 - Sources of Income of over $1,000

Income includes your government employment (city, county, state, etc.) as well as any other source as defined under the Internal Revenue Code including business ownership, regular employment, retirement income, drawing from a pension or a 401k, and social security income. You may want to reference your individual income tax statement.

If your "gross" income from any source was more than $1,000 throughout the year (or reporting period), that source of income must be reported.

If you are listing a farm or ranch operation, note that the term income of $1,000 relates to gross income and not net income.

If income resulted from employment by operation of or participation in a proprietorship, partnership, corporation, LLC, etc., list the same as the source of income, but not the patrons, customers, patients, or clients thereof.

Item 7 - Business Associations

You must include all business associations, both profit and non-profit, in which you held a position of officer, director, LLC member, partner, stockholder, or trustee at any time during the year. Be sure to include the address of the business or organization and the nature of your association with the organization.

If you or a member of your immediate family hold stock in a business, the level which triggers disclosure of that business is (a) $1,000 or a 5% equity interest in closed corporation stock; or (b) $10,000 or a 10% equity interest in publicly traded stock.

Business associations and anything else listed under Item 6 as a source of income need not be re-listed under Item 7.

Item 8 - Real Property in Nebraska valued at more than $1,000

You must provide a description of any real property in Nebraska which you own or in which you have a direct ownership interest with the exception of your personal residence. If you are building a house but not living there, it must be disclosed. The description must be sufficient to locate the property. It need not be the legal description although that may be used. Be reminded, if you derived rental income from a property (including out of state) of more than $1,000 be sure to disclose that source of income under Item 6.

Item 9 - Other Financial Interests and Property Held During the Period of this Statement which Exceeded a Fair Market Value of $1,000 at any time.

Item 9(a) - List the name and address of any financial institution in which you held Checking or Savings accounts or CD's (certificates of deposit). Keep in mind that if the value of an account exceeded $1,000 at any time during the year (or reporting period), disclosure is required.

Item 9(b) - List the issuers of all stocks, bonds, fund interests and government securities owned by you or in which you held a direct ownership interest if the value exceeded $1,000 at any point during the year (or reporting period). Listing the name of your brokerage firms is not sufficient disclosure with respect to this item. Be reminded that if you received over $1,000 of income from any one issuer of stocks, bonds or other investments, the issuer would also be listed under Item 6 as a source of income of more than $1,000.

Item 9 (c) - Describe other property valued at over $1,000 which was owned or held by you which is not otherwise disclosed in your statement. Be sure your description of the property is complete. For example, life insurance which has a cash value of more than $1,000 must include the name of the insurance company. The same is true with respect to IRA's valued at over $1,000, you must list the Issuing Institution which issued the IRA in addition to identifying "IRA" which is simply a general description of the nature of the holding (but if you can control what specific stocks are within the fund, those must be listed under Item 9b). HSA's with a value over $1,000 must be listed including the name of the bank. Out of state real property which is not listed elsewhere would be listed here. Also be sure to include retirement plans such as Nebraska State Retirement and college savings plans such as College Savings Plan of Nebraska.

Item 10 - Creditors Whom You Or A Member Of Your Immediate Family Owed More Than $1,000 At Any Time During The Calendar Year.

Accounts payable, debts arising out of retail transactions and loans made in the ordinary course of business by a financial institution need NOT be reported. Loans from a relative and recorded land contracts are also NOT required to be reported. <u>Do not report</u> credit cards, auto loans, student loans, and mortgage loans. All other creditors must be listed if the amount owed or guaranteed by you or a member of your immediate family exceeded $1,000 at any time during the calendar year. Financial institution is defined below.

Item 11 - Sources of Gifts of a Value of More than $100 and the Circumstances Surrounding the Receipt of the Gift or the Occasion for which the Gift was given.

Gifts from a relative need NOT be reported. All other gifts valued at more than $100 must be disclosed even if the gift is not connected with your service as an official or employee. The term gift is defined below.

Traditional gifts offered to state senators and other state officials are required to be reported unless you expressly reject and/or return the gift. For example, football tickets from the University of Nebraska, membership in a country club; tickets to the Lied Center; tickets to volleyball and basketball games; and passes to theaters or other events are reportable. In addition, all other gifts valued at over $100 must be reported. The monetary value of each gift must be categorized based upon your good faith estimate.

*** Every Item section must be COMPLETELY filled out or marked NONE! ***

ITEM 5 must be properly filled out or the filing will not be accepted!

Don’t Forget to SIGN and DATE Your Filing

VI. Definitions

Business – means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, organization, self-employed individual, holding company, joint stock company, receivership, trust, activity or entity. §**49-1407.** **Note:** Business includes both for-profit and non-profit entities.

Business with which the individual is associated – means a business (1) in which the individual is a partner, Limited Liability Company Member, director, or officer, or (2) in which the individual or a member of the individual's immediate family is a stockholder of closed corporation stock worth one thousand dollars or more at fair market value or which represents more than a five percent equity interest, or is a stockholder of publicly traded stock worth ten thousand dollars or more at fair market value or which represents more than ten per cent equity interest. An individual who occupies a confidential professional relationship protected by law shall be exempt from this section. This section shall not apply to publicly traded stock under a trading account if the filer reports the name and address of the stockbroker. §**49-1408**

Immediate Family – shall mean a child residing in an individual's household, a spouse of an individual, or an individual claimed by that individual or that individual's spouse as a dependent for federal income tax purposes. §**49-1425**

Elective office – means a public office filled by an election, except for federal offices. A person who is appointed to fill a vacancy in a public office which is ordinarily elective holds an elective office. §**49-1417**

Financial Institution – As used in section 49-1496, financial institution means a bank, savings bank, building and loan association, savings and loan association, or credit union, whether chartered by the United States, the department, or a foreign state agency; any other similar organization which is covered by federal deposit insurance; or a trust company. See section 8-801.03(11) of the state statutes. §**49-1497**

Gift – means a payment, subscription, advance, forbearance, rendering or deposit of money, services, or anything of value, unless consideration of equal or greater value is given therefor. Gift shall not include a campaign contribution otherwise reported as required by law, a commercially reasonable loan made in the ordinary course of business, a gift received from a relative, a breakfast, luncheon, dinner or other refreshments consisting of food or beverage for immediate consumption, or the occasional provision of transportation within the State of Nebraska. §**49-1423**

Relative – means any person related to another by blood or marriage to the third degree of consanguinity, including a foster parent, foster child, step-parent, stepchild, and adopted children and their adoptive parents. §**49-1443.01**

Income – means any money or anything of value received, or to be received as a claim on future services, whether in the form of a fee, salary, expense, allowance, forbearance, forgiveness, interest, dividend, royalty, rent, capital gain, or any other form of recompense then constituting income under the Internal Revenue Code. You need not report a distribution of principal or the income of an irrevocable trust of a member of your immediate family. §**49-1426**

Person – means a business, individual, proprietorship, firm, partnership, limited liability company, joint venture, syndicate, business trust, labor organization, company, corporation, association, committee, or any other organization or group of persons acting jointly. §**49-1438**

Statutory Definitions: Sections 49-1407, 49-1408, 49-1417, 49-1423, 49-1425, 49-1426, 49-1438, 49-1443.01, 49-1497, Revised Nebraska Statutes.

Statutory Authority: Sections 49-1493 to 49-1497, Revised Nebraska Statutes.

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L St., Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	**STATEMENT OF FINANCIAL INTERESTS** NADC FORM C-1	POSTMARK DATE	
BEFORE COMPLETING READ FILING REQUIREMENTS		MICROFILM NUMBER	
		OFFICE USE ONLY	

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to $5,000.

ITEM 1 | YOUR NAME, ADDRESS AND PHONE NUMBER

Name ______________________________ Telephone No. ______________

LAST FIRST MIDDLE

Address ______________________________

STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE

ITEM 2 | OCCASION FOR FILING (Check Appropriate Box)

☐ A candidate for elective office
☐ Left office or position
☐ Annual officeholder's or state employee's report
☐ Newly appointed to office or position

ITEM 3 | OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See IB of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: ______________________________ Term: ______________

BEGINS ENDS

Name of City, County, District, or State Agency: ______________________________

ITEM 4 | OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office: ______________________________

Name of City, County, District, or State Office: ______________________________

ITEM 5 | PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "**preceding calendar year"** and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

☐ This statement covers the **preceding calendar year** January1 through December 31, ______________

☐ Left office, this statement covers the period January 1, __________ to ______________

(DATE YOU LEFT OFFICE OR POSITION)

ITEM 6	SOURCES OF INCOME OF OVER $1,000
Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)	
Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over $1,000 was received.	List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

**** List your Government position and ALL sources of income over $1,000 ****

1.) ______________________ 1a.) ______________________

2.) ______________________ 2a.) ______________________

3.) ______________________ 3a.) ______________________

4.) ______________________ 4a.) ______________________

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7	BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)
Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need **not** report business associations which are otherwise listed under Item 6.	
Name and Address of Business or Organization.	Nature of Association

If you have nothing to report, write NONE

1.) ______________________ 1a.) ______________________

2.) ______________________ 2a.) ______________________

3.) ______________________ 3a.) ______________________

4.) ______________________ 4a.) ______________________

5.) ______________________ 5a.) ______________________

6.) ______________________ 6a.) ______________________

7.) ______________________ 7a). ______________________

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than $1,000 and your personal residence need *not* be reported.)

List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need **not** report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than $1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

Location of Property (Description or Address)	Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE	

ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF $1,000 AT ANY TIME DURING THE REPORTING PERIOD

(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

Financial Institution	Address
If you have nothing to report, write NONE	

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

If you have nothing to report, write NONE

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

If you have nothing to report, write NONE

ITEM 10	CREDITORS TO WHOM $1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.

Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need **not** be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need **not** be reported.

Name	Address
If you have nothing to report, write NONE	

ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN $100 RECEIVED EXCEPT GIFTS FROM RELATIVES. **(See definitions)** If you have nothing to report, write NONE

Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
		Choose Value:	
		Choose Value:	
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The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:

A) $100.01 to $200; B) $200.01 to $500; C) $500.01 to $1,000; D) $1,000.01 or more.

ITEM 12	SIGNATURE OF FILER AND DATE.

I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.

(Signature of Filer) (Date)

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

Form C-1 copy from: January 2018 revision