State of Nebraska Accountability and Disclosure Commission

P.O. BOX 95086 Lincoln, Nebraska 68509 www.nadc.nebraska.gov



Centre Terrace, 1225 L St. #400 Phone (402) 471-2522 Fax (402) 471-6599

Synopsis Case #19-50 Action Taken on December 4, 2020

Respondent: Stanley Odenthal

The Respondent was the Director of Employment and Training for the Nebraska Department of Labor who was required to file a Statement of Financial Interests within 30 days after leaving his position on May 31, 2019. He failed to do so in violation of §49-1493 of the Nebraska Political Accountability and Disclosure Act. A Preliminary Investigation was commenced and a hearing was held. Based upon the record and the hearing officer's recommendation, the Commission found that the Respondent violated §49-1493. It assessed a civil penalty of \$2,000. The Respondent filed the required Statement of Financial Interests and entered into a proposed settlement agreement by which the Commission would assess an agreed upon civil penalty of \$250.00.

The Commission, with 8 Commissioners concurring, no Commissioners dissenting and no Commissioners approved the settlement agreement

Violation: The Commission previously found that the Respondent failed to file a Statement of Financial Interests in violation §49-1493 of the NPADA.

Civil Penalty: \$250.00

Other: The Required statement is on file.

Attorney for the Commission: Neil B. Danberg

Synopsis Prepared by:

Frank Daley Executive Director

Nebraska Accountability & Disclosure Commission

P.O. Box 95086 Lincoln, NE 68509 402-471-2522

BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION

In the Matter of Stanley Odenthal,)	Case #19-50
Respondent)	ORDER
Respondent)	ORDER

Now on this 4th day of December, 2020, this matter comes before the Nebraska Accountability and Disclosure Commission. Commissioners Anderson, Callahan, Carlson, Davis, Enenbach, Evnen, Peetz and Sullivan are present with Commissioner Hegarty absent, and Commissioner Davis presiding. The Respondent is not present. The Commission notes the submission of a proposed Settlement Agreement.

Whereupon, the Commission with eight (8) Commissioners concurring, no Commissioners dissenting, and no Commissioners abstaining, finds that the attached Settlement Agreement should be and hereby is approved and incorporated into this Order. Pursuant to that Agreement, the Commission notes that it has previously entered an Order finding that the Respondent has committed a violation of Nebraska Revised Statutes: Section 49-1493, as recited in the attached Settlement Agreement.

In accordance with the Settlement Agreement, the Commission supersedes its earlier Order in this case with respect to civil penalty, and it imposes a civil penalty of \$250.00 upon the Respondent.

Issued this 4th day of December, 2020.

Nebraska Accountability and Disclosure Commission

Executive Director

Frank J. Daley, Jr. Executive Director

Certificate of Service

I hereby certify that a copy of this Order was sent by certified U.S. Mail, postage prepaid, return receipt requested on this _____ day of December, 2020, to the following address: Stanley Odenthal, 9710 Mortenson Drive, Lincoln, NE 68526.

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Frank J. Daley, Jr.

BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION

In the Matter of Stanley Odenthal)	CASE No. 19-50
)	SETTLEMENT AGREEMENT
)	

- Parties: The parties to this Settlement Agreement (hereinafter "Agreement") are the Nebraska Accountability and Disclosure Commission, hereafter referred to as the "Commission" and Stanley Odenthal, hereafter referred to as the "Respondent."
- Jurisdiction: The Respondent acknowledges that the Commission has jurisdiction in this matter pursuant to the terms of Nebraska Statutes 49-1401 et seq., known as the Nebraska Political Accountability and Disclosure Act ("NPADA").
- 3) <u>Intention:</u> The parties hereby agree and intend that this Agreement shall constitute a waiver of any further proceedings in this matter, thereby resolving the matter without further delay and expense to the parties.
- 4) The Alleged Violation: In its Notice of Preliminary Investigation in this case, the Commission has alleged that the Respondent failed to file a Statement of Financial Interests upon leaving his position on May 31, 2019, which Statement was required by Section 49-1462 of the Nebraska Statutes.
- 5) <u>Stipulation:</u> The Respondents and the Commission stipulate and agree that at the time of the alleged violation, the Respondent had an obligation to file a Statement of Financial Interests covering the period from his last Statement of Financial Interests through the date of his departure from office, and he did not do so.
- 6) The Alleged Violation, Agreement: The Respondent states that it was not his intent to violate the NPADA as alleged, but he agrees and stipulates that the Commission has already made a finding that he has violated Section 49-1462 of the NPADA, as described above in Paragraph 4 and as more fully set forth in the Notice of Preliminary Investigation; and he further agrees and stipulates that the Commission has entered an order that there has been a violation as alleged in Paragraph 4, and that he will not contest such order. The Respondent further states that he has now filed the Statement of Financial Interests in question, and that it has been accepted by the Commission.
- 7) <u>Limitation of Civil Penalty:</u> The parties have agreed that, in view of the Respondent's willingness to resolve this matter, his filing of the Statement of Financial Interests at issue, and other matters in extenuation and mitigation of the violation, the Commission will accept the sum of \$250 from the Respondent in full settlement and satisfaction of this violation, and this Agreement will so modify and

supersede the earlier order of this Commission concerning the assessment of a civil penalty in this matter. The Respondent further agrees to make said payment within sixty (60) days of the effective date of this Agreement, and, if he does not make such payment in full by that time, the Commission may undertake legal action to compel such payment, and the Respondent agrees to pay all costs and attorneys' fees incident to such legal action.

- 8) The Commission must approve this Agreement in order for it to be effective: The parties agree that in order for this Agreement to become effective, the Commission must approve it, and the Commission shall, if it approves the Settlement, enter an Order in accordance with the terms of this Agreement.
- 9) Effective Date of Agreement: This Agreement shall not be binding upon the parties until the date it is approved by the Commission and the Commission issues an order in accordance with the terms of this Agreement. The date upon which the Commission enters its Order approving this Agreement shall be deemed its effective date. If this Agreement is not approved in whole by the Commission, this Settlement Agreement shall be void and may not be used in this or any other proceeding. The parties acknowledge that this Settlement Agreement and any subsequent Order shall be announced at an open public meeting of the Commission. The parties further acknowledge that, upon adoption, this Settlement Agreement, the Order, and the Commission's file pertaining to this matter shall become open and public.
- 10) Right to Address the Commission: The Respondent has a right to address the Commission on the matter of the approval of this Settlement Agreement.

11) Advice of Counsel: The Respondent acknowledges that he has had an opportunity to seek the advice of an attorney in connection with the terms of this agreement.

Stanley Odenthal

Frank J. Daley, Jr. Executive Director

Nebraska Accountability and Disclosure Commission

18/20

Date

Date