

State of Nebraska

Accountability and Disclosure Commission

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Lincoln, Nebraska 68509
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11th Floor, State Capitol
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Synopsis Case #14-40 Action Taken on June 12, 2015

Respondent: Ruth Gray

The Respondent is a former Buyer III for the Department of Administrative Services. Individuals holding this position are required to file Statements of Financial Interests annually while holding the position and within 30 days after leaving position. The Respondent left her position in the spring of 2014. The Executive Director of the Commission commenced a Preliminary Investigation on November 25, 2014 alleging a failure to file a Statement of Financial Interests in violation of section 49-1493 of the Nebraska Political Accountability and Disclosure Act. The required statement was filed on March 9, 2015. The parties entered into a settlement agreement by the terms of which the Commission finds a violation of section 49-1493 and no civil penalty is assessed. The Commission approved the Settlement Agreement with eight Commissioners concurring, no Commissioners dissenting, and no Commissioners abstaining.

Violation: Pursuant to the Settlement Agreement, the Commission found that the Respondent failed to file a Statement of Financial Interests within 30 days of leaving her position in violation section 49-1493 of the NPADA.

Civil Penalty: None

Other: Required statement is now on file.

Synopsis Prepared by: Frank Daley
Executive Director
Nebraska Accountability & Disclosure Commission
P.O. Box 95086
Lincoln, NE 68509
402-471-2522

BEFORE THE NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION

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In the Matter of Ruth Gray

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CASE # 14-40

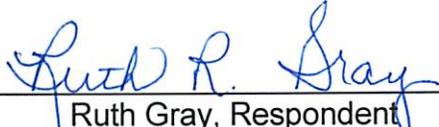
Settlement Agreement

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- 1) Parties: The parties to this Settlement Agreement are the Nebraska Accountability and Disclosure Commission, hereafter referred to as the "Commission" and Ruth Gray, hereafter referred to as the "Respondent".
- 2) Jurisdiction: The Respondent acknowledges the jurisdiction of the Commission pursuant to the Nebraska Political Accountability and Disclosure Act, hereafter referred to as the "Act".
- 3) Intention: The parties enter into this Settlement Agreement after a finding of probable cause by the Commission and agree that this Agreement shall constitute a waiver of a formal hearing, thereby resolving the matter without further delay and expense to the parties.
- 4) Admissions and Findings: The Respondent admits holding the position of Buyer III for the Department of Administrative Services; that §49-1493 of the Act provides that Respondent was to file a Statement of Financial Interests for the period of January 1, 2013 through the date that she left said position and that Respondent failed to do so within 30 days after leaving the position in violation of the provisions of said statute. The Commission acknowledges that the required statement was filed on March 9, 2015.
- 5) Penalty: The parties acknowledge that violation of Section §49-1493 may result in a maximum civil penalty of two thousand dollars. In this case, the parties agree that no civil penalty shall be assessed.
- 6) Future Compliance: Respondent agrees that in the future, Respondent will use all reasonable diligence in complying with all of the provisions of the Nebraska Political Accountability and Disclosure Act.
- 7) Commission Findings and Order: The parties acknowledge that the Commission found probable cause to believe a violation of the Act has occurred and that there is sufficient evidence to reasonably believe that the Respondent could be found to have violated the Act. The parties further agree that the Commission shall enter an Order finding the violation as set out in paragraph 4 above and providing for no civil penalty as agreed

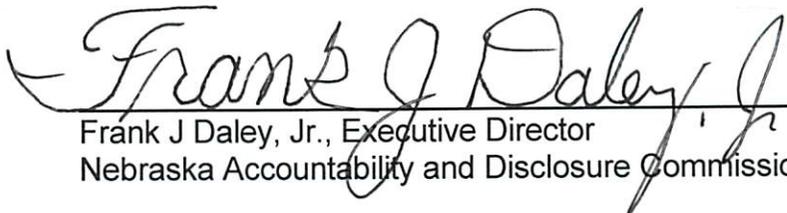
- herein.
- 8) Effective Date of Agreement: This Agreement shall not be binding upon the parties until approved by the Commission. The date upon which the Commission enters its order approving this agreement shall be deemed its effective date. If this Agreement is not approved in whole by the Commission, this Settlement Agreement shall be void and shall not be used in this or any other proceeding.
 - 9) Acknowledgement: The parties acknowledge that this Settlement Agreement and any subsequent Order shall be announced at an open public meeting of the Commission and that the vote on such matter shall take place during the Closed Session portion of the meeting. The parties further acknowledge that upon adoption this Settlement Agreement, the Order and the Commission's file pertaining to this matter shall become open and public.
 - 10) Address the Commission: The parties agree that the Respondent, or Respondent's representative, shall have the opportunity to address the Commission on the matter of the approval of this Settlement Agreement. The presentation of the Respondent may be made in person, through an attorney, or in written form.
 - 11) Advice of Counsel: The Respondent acknowledges that he has had the opportunity to seek the advice of an attorney on this matter.

3-25-15
Date



Ruth Gray, Respondent

3-23-15
Date



Frank J Daley, Jr., Executive Director
Nebraska Accountability and Disclosure Commission