|  |  |  |  |
| --- | --- | --- | --- |
| NEBRASKAACCOUNTABILITY AND DISCLOSURE COMMISSIONP.O. Box 95086Lincoln, NE 68509(402) 471-2522[www.nadc.nebraska.gov](http://www.nadc.nebraska.gov) BEFORE COMPLETING THIS FORM READ THE GENERAL INFORMATION FILING REQUIREMENTS ON PAGE 4 |  | POSTMARKDATE |  |
| **STATEMENT** **OF** **ORGANIZATION** **OF A** **POLITICAL COMMITTEE**NADC FORM A-1 | MICROFILMNUMBER |  |
| OFFICE USE ONLY |
|  |
|  |
|  |  |  |
| * A candidate for any elective office in Nebraska (state, county or local) must organize a candidate committee and file this Statement of Organization of a Political Committee within 10 days after raising, receiving, or spending more than $5,000 in a calendar year (including personal funds) in support of his/her candidacy for office; or, within 2 days after raising, receiving, or spending more than $5,000 in a calendar year (including personal funds) during the 30 days prior to the election for which the committee exists.
* All other political committees which raise or spend more than $5,000 in a calendar year must also file this Statement of Organization of a Political Committee according to the schedules in the preceding paragraph.
* Penalty for filing this statement after the date it is due is $25 for each day the statement remains not filed in violation of law, not to exceed $750. For committees exceeding the $5,000 threshold during the 30 days prior to the election for which the committee exists, the penalty for filing this statement after the due date is $100 for each day the statement remains not filed in violation of law, not to exceed $1,000.
* A Statement of Organization must be accompanied by a filing fee of $100.
* Persons who fail to file this statement or otherwise do not comply with the reporting provisions of the law are subject to other penalties.
 |
|  |  |
| **ITEM 1** | **NATURE OF FILING (Check Appropriate Box )** |
|  |  [ ]  This is an initial filing |  [ ]  This is an amendment (complete Item 2 and other items to be amended) |
|  |
|  **ITEM 2** |  **COMMITTEE NAME, PHYSICAL STREET ADDRESS, TELEPHONE & EMAIL** |
| **THIS INFORMATION WILL BE USED BY THE COMMISSION FOR CONTACTING THE COMMITTEE** |
|  |  |  |  |
|  Committee Name |       |
|  |  |  |  |  |
|  Telephone No:  |       |  Email Address: |       |
|  |  |  |  |  |
| Address |       |  |       |  |       |  |       |
|  |  PHYSICAL STREET ADDRESS |  | CITY |  |  | STATE |   | ZIP CODE |
|  **ITEM 3** |  **NATURE OF COMMITTEE (Check Appropriate Box)** |
|  |  |  |  |
|  |  [ ]  Candidate Committee |  [ ]  Political Party Committee |  [ ]  Ballot Question Committee\* |
|  |  [ ]  Independent Committee\* |  |  [ ]  Separate Segregated Political Fund\* |
|  | \*If Ballot Question Committee, Independent Committee, or Separate Segregated Political Fund is checked above, “Continuation to Form A-1”, page 3, must also be completed. |
|  **ITEM 4** |  **CANDIDATES THE COMMITTEE SUPPORTS OR OPPOSES** |
| Name | Support | Oppose | Specific Office (Jurisdiction, Office, & District) |
|        |       |       |        |
|  **Candidate Email Address:**       |
|  **ITEM 5** | **BALLOT QUESTIONS THE COMMITTEE SUPPORTS OR OPPOSES** |
| Summary or Description of Each Ballot Question | Support | Oppose | Type (See Key) |
|        |       |       |   |
|        |       |       |   |
|  Key: A - Initiative B - Recall C - Referendum D - Constitutional Amendment E - OtherRevised August 2023 |
| **ITEM 6** | **DATE OF THE NEXT ELECTION FOR WHICH COMMITTEE IS SUPPORTING OR OPPOSING**  |
| **CANDIDATES OR BALLOT QUESTIONS** |       |       |       |  |
|  |  | MONTH | DAY | YEAR |  |
|  |  |
| **ITEM 7** | **DATE THIS COMMITTEE RAISED, RECEIVED OR EXPENDED MORE THAN $5,000 IN A CALENDAR YEAR** |
|  |       |       |       |  |  |  |  |
|  | MONTH | DAY | YEAR |  |  |  |  |
| **ITEM 8** | **NAME, ADDRESS & TELEPHONE NUMBER OF THE OFFICERS AND MEMBERS OF THE COMMITTEE** |
| Position | Name | Address (Street Address or Rural Route & City) | Zip Code | Telephone No. |
| Treasurer |       |       |       |       |
| Treasurer Email Address:      |       |       |
| Assistant Treasurer |       |       |       |       |
| Assistant Treasurer Email Address:      |       |       |
| Organizations which are Members (individual members need not be listed) |
|       |       |       |       |
|       |       |       |       |
|       |       |       |       |
|  |
| **ITEM 9** | **NAME AND ADDRESS OF ALL FINANCIAL INSTITUTIONS WHERE COMMITTEE FUNDS ARE KEPT.** |
| **A SEPARATE ACCOUNT MUST BE ESTABLISHED, AND ALL FUNDS RECEIVED AND** |
| **ALL EXPENDITURES MADE MUST GO THROUGH THIS ACCOUNT.** |
| FINANCIAL INSTITUTION NAME (PRIMARY ACCOUNT) | ADDRESS |
|       |       |
|       |       |
| FINANCIAL INSTITUTION NAME (SECONDARY ACCOUNT IF ANY) | ADDRESS |
|       |       |
|       |       |
|  |
|  |
| I, |       | state that I am the  |       | of the |
|  (Name Of Individual Signing) | (Candidate or Treasurer) |
|  |
|       | committee filing this Statement of Organization of a  |
|  (Name of Committee Filing Statement) |
|  |
| Political Committee, that I am duly authorized to file the same on behalf of said committee, that I have caused the same to be prepared, and know the contents thereof, and to the best of my knowledge, the information contained herein is true, correct and complete. |
|  |
|  |
|       |  |  |
| (DATE) |  |  | (SIGNATURE) |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
| **REMINDER: A $100 filing fee is required** |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

|  |  |
| --- | --- |
| **CONTINUATION****TO****FORM A-1** | **THIS PAGE IS APPLICABLE TO INDEPENDENT COMMITTEES, INCLUDING SEPARATE SEGREGATED FUNDS AND BALLOT QUESTION COMMITTEES.** |
| **IF YOUR COMMITTEE IS A SEPARATE SEGREGATED POLITICAL FUND, COMPLETE ITEMS 10, 11, AND 12. IF YOUR COMMITTEE IS A BALLOT QUESTION COMMITTEE OR AN INDEPENDENT COMMITTEE WHICH IS NOT A SEPARATE SEGREGATED POLITICAL FUND COMPLETE ITEMS 10, 12 AND 13.** |
|  |
| **ITEM 10** | **COMMITTEE ACRONYM (If Applicable)** |       |
|  |
| **ITEM 11** | **NAME AND ADDRESS OF CORPORATION, LABOR ORGANIZATION, LIMITED LIABILITY COMPANY, LIMITED LIABILITY PARTNERSHIP OR INDUSTRY, TRADE OR PROFESSIONAL ASSOCIATION ESTABLISHING & ADMINISTERING SEPARATE SEGREGATED POLITICAL FUND.** |
| Name |       |
|  | Corporation, Union, LLC, LLP or Other Association |
| Address |       |       |       |       |
|  | STREET ADDRESS | CITY | STATE | ZIP CODE |
|  |  |
|  |  |
| **ITEM 12** | **NAME AND ADDRESS OF CONTROLLING INDIVIDUALS** |
| Identify the full name and address of the individuals who will determine which candidates or ballot questions the committee or fund will support or oppose, or a description of the manner in which such determinations are made. |
| Note: Ballot Question committees may not make expenditures which are in support of or opposition to candidates. |
| Full Name | Address (Street or Rural Route) | City | State | Zip Code |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
| Description       |
| **ITEM 13** | **NAME AND ADDRESS OF INDIVIDUALS WHO ORGANIZED COMMITTEE OR CAUSED SOLICITATION OF CONTRIBUTIONS TO BE MADE TO THE COMMITTEE. IF SUCH ORGANIZATION OR SOLICITATION WAS ON BEHALF OF ANY OTHER PERSON, ALSO INCLUDE THE NAME AND ADDRESS OF THAT PERSON.** |
| Full Name | Address (Street or Rural Route) | City | State | Zip |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|  |  |  |  |  |

**General Information - Filing Requirements**

**A. Filing Requirements**

1. **Candidates.** If a candidate for elective office in Nebraska (state, county, or local) **raises, receives or spends more than $5,000 in a calendar year including his/her personal funds,** he/she must organize a candidate committee and file a Statement of Organization of a Political Committee. A candidate committee may be a one person committee, that person being the candidate.
2. **Other Committees.** All other political committees which raise or spend more than $5,000 in a calendar year must file a Statement of Organization of a Political Committee.

|  |
| --- |
| **ALL POLITICAL COMMITTEES ARE SUBJECT TO FILING PERIODIC CAMPAIGN STATEMENTS (NADC FORM B-1, B-2, OR B-4) UNTIL THE COMMITTEE IS DISSOLVED AND A STATEMENT OF DISSOLUTION (NADC FORM A-2) IS FILED AND FORMALLY ACCEPTED BY THE COMMISSION.** |

1. **Political Committees are Classified and Defined as Follows:**

"Committee" is defined as any combination of two or more individuals which receives contributions or makes expenditures of more than $5,000 in a calendar year for the purpose of influencing or attempting to influence the action of the voters for or against the nomination or election of one or more candidates, or the qualification, passage or defeat of one or more ballot questions. A corporation, labor organization, limited liability company, limited partnership, or industry, trade, or professional association is not a committee if it makes expenditures or provides personal services pursuant to the provisions beginning at Section 49-1469.

1. Candidate Committee

Candidate committee shall mean the committee designated in a candidate's filed Statement of Organization of a Political Committee as that individual's candidate committee. A candidate committee shall be presumed to be under the control and direction of the candidate named in the same Statement of Organization of a Political Committee and may be a one person committee, that person being the candidate.

Note: *The term "candidate" is not limited to individuals who have filed for elective office. An individual who receives a contribution or makes an expenditure, or gives consent for another to do so, with a view of bringing about nomination or election to an elective office is a candidate regardless of whether the specific office the individual will seek is known at the time the contribution is received or the expenditure made.*

1. Ballot Question Committee

Ballot question committee shall mean any committee acting in support of, or in opposition to, the qualification, passage, or defeat of a ballot question, but which does not receive contributions or make expenditures or contributions for the purpose of influencing or attempting to influence the action of voters for or against the nomination or election of a candidate.

**Ballot question** means any question which is submitted or *which is intended to be submitted* to a popular vote at an election, including initiative, referendum, recall, judicial retention, or bond issue whether or not it qualifies for the ballot.

1. Political Party Committee

A state central, district or county committee of a political party. (A political party means a political party which has a right under law to have the names of its candidates listed on the ballot in a general election.)

1. Independent Committee
2. Independent committee shall mean a political committee other than a candidate, ballot question or political party committee.
3. A "separate segregated political fund" is an independent committee established and administered by a corporation, labor organization, limited liability company, limited partnership, or industry, trade or professional association.

Note: *Election means a primary, general, special or other election held in this state or a convention or caucus of a political party held in this state to nominate a candidate.* Election includes a vote on a ballot question.

1. **When to File**

All committees must file within 10 days after formation, or within 2 days if formed in the 30 days prior to the election for which the committee exists. A committee is considered formed upon raising, receiving, or spending more than $5,000 in a calendar year. Changes to any of the information required in the committee's Statement of Organization of a Political Committee are required to be reported by the date the next Campaign Statement is required to be filed.

1. **Where to File**

All committees shall file the Statement of Organization and $100 filing fee with the Commission..

1. **Special Notice to Candidate Committees:**

A candidate committee shall not accept more than $15,000 in loans prior to or during the first 30 days after the formation of a committee. After the first 30 day period, the committee shall not accept loans which exceed 50% of the total contributions, other than loans, received by the committee as of the date of the receipt of the loan. Existing candidate committees are subject to the 50/50 aggregate ratio of loans to other contributions. Section 49-1446.04, Nebraska Statutes.

**Penalties:** *Any person who fails to file this statement or amendments thereto as required by law shall pay to the Commission a late filing fee of $25 for each day the statement remains not filed in violation of law, not to exceed $750. Committees formed in the 30 days prior to the election for which the committee exists, shall pay to the Commission a late filing fee of $100 for each day the statement remains not filed in violation of law, not to exceed $1,000. In addition, persons who fail to file this statement or otherwise do not comply with the reporting provisions of the law are subject to other penalties.*

Clear and legible photocopies of this form as completed may be used for any required filing. Forms may be downloaded from nadc.nol.org.

The provisions of the Nebraska Political Accountability and Disclosure Act may be found in Sections 49-1401 through 49-14,141, Nebraska Statutes. See also Commission Rule 7.

Statutory Authority: Sections 49-1449, 49-1450 and 49-1451, Nebraska Statutes.

\*All reference to "Commission" shall mean the Nebraska Accountability and Disclosure Commission.

**FILING FEE**

A Statement of Organization must be accompanied by a filing fee of $100. Checks or money orders should be made payable to the State of Nebraska. **A Statement of Organization is not considered filed until both the statement and the filing fee have been received by the Commission.** No filing fee is required when filing an amended Statement of Organization.